

File 347:JAPIO Oct 1976-2 /Aug(Updated 021203)  
(c) 2002 JPO & JAPIO  
File 348:EUROPEAN PATENTS 1978-2002/Dec W02  
(c) 2002 European Patent Office  
File 349:PCT FULLTEXT 1979-2002/DB-20021212,UT-20021208  
(c) 2002 WIPAC/Univentio  
File 350:Derwent WPIX 1963-2002/UD,UM &UP=200280  
(c) 2002 Thomson Derwent

Set	Items	Description
S1	0	AU='HAMRECHT W'
S2	9	AU='HANSSON O' OR AU='HANSSON OTHAR'
S3	48	AU='HAYES J'
S4	2	AU='HAYES JORDAN M'
S5	91	AU='KATZ A';AU='KATZ A Y' OR AU='KATZ ALAN HOWARD';AU='KATZ ALAN J'
S6	3	AU='OCHEPET';AU='OCHERST CHARLES A'
S7	55	AU='REGAN M';AU='REGAN M T' OR AU='REGAN MATTHEW JAMES PATRICK'
S8	1	(S1 OR S2 OR S3 OR S4 OR S5 OR S6 OR S7) AND AUCTION? AND - (INVEST? OR IPO OR BROKER?)

File 347:JAPIO Oct 1976-2. 2/Aug(Updated 021203)  
(c) 2002 JPO & JAPIO  
File 350:Derwent WPIX 1963-2002/UD,UM &UP=200280  
(c) 2002 Thomson Derwent

Set	Items	Description
S1	2216	AUCTION? OR METAACUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR ((BID OR BIDD?) (5N)OFFER?) OF EBAY OR E()BAY OR REQUEST(3W- )QUOTE? OR RFQ
S2	194964	ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?
S3	6	INITIAL()PUBLIC()OFFERING? OR CLOSED(1W)END()FUNDS OF (FIR- ST OR 1ST OR START?) (5N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR E- QUITY OR EQUITIES))
S4	39063	(RESTRICT? OR RESTRAIN OR RESTRAINING OR BARRIER? OF LIMIT? OR CONTINGENT? OR CONTROLLED OR CONDITIONAL?) (2N) (USE OR USI- NG OR USAGE OR USABILITY OF ACCESS? OF ENTRANCE OR ENTRY OR U- TILIZ?)
S5	546	(QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S6	296165	SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) ( ) IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W)BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE ( ) (MAN OR MEN)
S7	532	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S8	0	S1 AND S2 AND (S4 OR S5) AND S6 AND S7
S9	0	S1 AND S2 AND (S4 OR S5) AND S6
S10	27	S1 AND S2 AND (S4 OR S5)

Set	Items	Description
S1	2216	AUCTION? OR METAUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR ((BID OR BIDD?) (SN)OFFER?) OR EBAY OR E()BAY OR REQUEST(3W- )QUOTE? OR RFQ
S2	194964	ONLINE OR INTERNET OR INTRANET OR ETHEENET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?
S3	7	INITIAL()PUBLIC()OFFERING? OR CLOSED(1W)END()FUNDS OR (GO - OR GOING)()PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR MD- TUAL(1W)STOCK()CONVER? OR (FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES))
S4	546	(QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S5	296165	SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) () IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W)BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN)
S6	532	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S7	3	S1 AND S3

Set	Items	Description
S1	2873	AUCTION? OR METAUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR ((BID OR BIDU?) (5N)OFFER?) OR EBAY OR E()BAY OR REQUEST(3W- )QUOTE? OR RFQ
S2	146621	ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?
S3	99	INITIAL()PUBLIC()OFFERING? OR CLOSED(1W)END()FUNDS OR (GO - OR GOING)()PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR MU- TUAL(1W)STOCK()CONVER? OR (FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES))
S4	2654	(QUALIFIED OR AUTHORIZED OR AUTHORIZED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAR- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S5	628053	SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR P- ILL)()IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W)BETWEEN - OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN)
S6	2609	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S7	31	S1 AND S2 AND S3 AND (S4 OR S5 OR S6)
S8	1	(S1(10N)S3) AND S5 NOT S7
S9	1	S3 AND (S4(5N)S5) NOT (S7 OR S8)
S10	22	S3 NOT (S7 OR S8 OR S9) AND IC=006F-017/60

Set	Items	Description
S1	668	AUCTION? OR METAUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR ((BID OR BIDD?) (5N)OFFER?) OR EBAY OR E()BAY OR REQUEST(3W- )QUOTE? OR RFQ
S2	44059	ONLINE OR INTERNET OR INTRANET OR ETHERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?
S3	130	INITIAL()PUBLIC()OFFERING? OR CLOSED(1W)END()FUNDS OR (GO - OR GOING) ()PUBLIC OR REVERSE() (MEEGER? OR ACQUISITION?) OR MU- TUAL(1W)STOCK()CONVER? OR (FIRST OR 1ST OR START?) (3N) ((PUBLIC OR COMMON) (2N) (STOCK? ? OR EQUITY OR EQUITIES))
S4	82	(QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERM- ITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LAP- GE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED) (2N) (PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S5	3441	SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR F- ILL) ()IN OR INTERMEDIAR? OR REPRESENTATIVE? OF GO(1W)BETWEEN - OF MIDDLEMAN OR MIDDLEMEN OF MIDDLE() (MAN OR MEN)
S6	163	(UNQUALIFIED OR ORDINARY OR SMALL OR UNSOPHISTICATED OR SI- NGLE OR SOLE OR SOLITARY) (2N) (PURCHASER? OR BUYER? OR CUSTOME- R? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S7	0	S1 AND S2 AND S3 AND (S4 OR S5 OR S6)
S8	0	S1 AND S3 AND (S4 OR S5 OR S6)
S9	1	S1 AND S3
S10	5	S3 AND (S4 OR S5 OR S6)

File 15:ABI/Inform(R) 19 2002/Dec 13  
(c) 2002 ProQuest Info&Learning  
File 9:Business & Industry(R) Jul/1994-2002/Dec 12  
(c) 2002 Resp. DB Svcs.  
File 610:Business Wire 1999-2002/Dec 13  
(c) 2002 Business Wire.  
File 810:Business Wire 1986-1999/Feb 28  
(c) 1999 Business Wire  
File 275:Gale Group Computer DB(TM) 1983-2002/Dec 13  
(c) 2002 The Gale Group  
File 476:Financial Times Fulltext 1982-2002/Dec 13  
(c) 2002 Financial Times Ltd  
File 624:McGraw-Hill Publications 1985-2002/Dec 13  
(c) 2002 McGraw-Hill Co. Inc  
File 636:Gale Group Newsletter DB(TM) 1987-2002/Dec 13  
(c) 2002 The Gale Group  
File 621:Gale Group New Prod. Annou. (R) 1985-2002/Dec 12  
(c) 2002 The Gale Group  
File 613:PR Newswire 1999-2002/Dec 13  
(c) 2002 PR Newswire Association Inc  
File 813:PR Newswire 1987-1999/Apr 30  
(c) 1999 PR Newswire Association Inc  
File 16:Gale Group PROMT(R) 1990-2002/Dec 13  
(c) 2002 The Gale Group  
File 160:Gale Group PROMT(R) 1972-1989  
(c) 1999 The Gale Group  
File 634:San Jose Mercury Jun 1985-2002/Dec 12  
(c) 2002 San Jose Mercury News  
File 148:Gale Group Trade & Industry DB 1976-2002/Dec 12  
(c) 2002 The Gale Group  
File 20:Dialog Global Reporter 1997-2002/Dec 13  
(c) 2002 The Dialog Corp.  
File 625:American Banker Publications 1981-2002/Dec 13  
(c) 2002 American Banker  
File 268:Banking Info Source 1981-2002/Dec W2  
(c) 2002 ProQuest Info&Learning  
File 626:Bond Buyer Full Text 1981-2002/Dec 13  
(c) 2002 Bond Buyer  
File 267:Finance & Banking Newsletters 2002/Dec 09  
(c) 2002 The Dialog Corp.

Sec	Items	Description
S1	155009	(AUCTION? OR META AUCTION? OR MULTIAUCTION? OR DUTCHAUCTION? OR BID OR BIDD?)(3N)(ONLINE OR INTERNET OR WWW OR WEB OR CYBER OR VIRTUAL OR URL OR WEBSITE? OR WEBPAGE?) OR EBAY OR E(BAY
S2	488806	INITIAL()PUBLIC()OFFERING? OR CLOSED(1W)END()FUNDS OR (GO - OR GOING)()PUBLIC OR REVERSE() (MERGER? OR ACQUISITION?) OR (P-IRST OR 1ST OR INITIAL?)(3N)((PUBLIC OR COMMON)(2N)(STOCK? ? - OR EQUITY OR EQUITIES))
S3	403738	(RESTRICT? OR RESTRAIN OR RESTRAINING OR BARRIER? OR LIMIT? OR CONTINGENT? OR CONTROLLED OR CONDITIONAL? OR EXCLUSIV?)(2-N)(USE OR USING OR USAGE OR USABILITY OR ACCESS? OR ENTRANCE - OR ENTRY OR UTILIZ?)
S4	457620	(QUALIFIED OR AUTHORIZED OR AUTHORISED OR ACCEPTED OR PERMITTED OR APPROVED OR SANCTIONED OR AFFIRMED OR ALLOWED OR LARGE OR WEALTHY OR INSTITUTION? OR SOPHISTICATED)(2N)(PURCHASER? OR BUYER? OR INVESTOR? OR BIDDER? OR SUBSCRIBER?)
S5	2843	S4(SN)(SUBSTITUT? OR PROXY OR PROXIE? OR VICARIOUS OR (STAND OR FILL)()IN OR INTERMEDIAR? OR REPRESENTATIVE? OR GO(1W)BETWEEN OR MIDDLEMAN OR MIDDLEMEN OR MIDDLE() (MAN OR MEN))
S6	5	S1 AND S2 AND S5
S7	176	S1 AND S2 AND S3 NOT S6
S8	28	((S1(S)S2) AND S3) NOT S6

## WEST Search History

DATE: Monday, April 01, 2002

<u>Set Name</u> side by side	<u>Query</u>	<u>Hit Count</u>	<u>Set Name</u> result set
<i>DB=USPT,PGPB,JPAB,EPAB,DWPI,TDBD; PLUR=YES; OP=OR</i>			
L2	((705/37)!.COR.)	326	L2
L1	(705/37.cor)!	0	L1

END OF SEARCH HISTORY

*D = UPDATED TI/AB/KW/C*

Set	Items	Description
S1	3	TRIUS AND IPO
S2	70	((TREASURY(W)BILL? ?) AND (PRO(W)RATA))
S3	54	RD (unique items)
S4	293	ES3 NOT PY>1999
S5	37	S3 NOT PY>1999
File 636:	Gale Group Newsletter DB(TM)	1987-2002/Mar 29
	(c) 2002 The Gale Group	
File 621:	Gale Group New Prod. Annon.(R)	1985-2002/Mar 29
	(c) 2002 The Gale Group	
File 813:	PR Newswire 1987-1999/Apr 30	
	(c) 1999 PR Newswire Association Inc	
File 16:	Gale Group PROMT(R)	1990-2002/Mar 29
	(c) 2002 The Gale Group	
File 160:	Gale Group PROMT(R)	1972-1999
	(c) 1999 The Gale Group	
File 148:	Gale Group Trade & Industry DB	1976-2002/Mar 29
	(c) 2002 The Gale Group	
File 20:	Dialog Global Reporter	1997-2002/Apr 01
	(c) 2002 The Dialog Corp.	

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Set	Items	Description
S1	141	PARITY AND (PRO(W)RATA)
S2	77	S1 AND BOND? ?
S3	6	S2 AND CLEARING
S4	6	PL (unique items)
S5	1	S1 AND (CLEARING(W)PRICE? ?)
S6	998	(DISTRIBUT?(2N)(SHARE? ? OR EQUIP? OR BOND?)) AND (PRO(W)R- ATA)
S7	26	S6 AND (TREASURY(W)BILL?)
S8	12	RD (unique items)
S9	554	IFC AND (PRO(W)RATA)
S10	27	S9 AND AUCTION
S11	13	RD (unique items)
File 636:Gale Group Newsletter DE(TM) 1987-2002/Mar 28 (c) 2002 The Gale Group		
File 621:Gale Group New Prod.Annou.(R) 1985-2002/Mar 28 (c) 2002 The Gale Group		
File 813:PR Newswire 1987-1999/Apr 20 (c) 1999 PR Newswire Association Inc		
File 16:Gale Group PROMT(R) 1990-2002/Mar 28 (c) 2002 The Gale Group		
File 160:Gale Group PPOMT(R) 1972-1989 (c) 1999 The Gale Group		
File 148:Gale Group Trade & Industry DE 1976-2002/Mar 28 (c)2002 The Gale Group		
File 20:Dialog Global Reporter 1997-2002/Mar 28 (c) 2002 The Dialog Corp.		
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## DS; SHOW FILES

Set	Items	Description
S1	70	WILLIAM(W)VICKREY
S2	21	S1 AND AUCTION
File	15:ABI/Inform(R)	1971-2001/Jul 28 (c) 2001 ProQuest Info&Learning
File	9:Business & Industry(R)	Jul/1994-2001/Jul 21 (c) 2001 Resp. DB Svcs.
File	623:Business Week	1985-2001/Jul W5 (c) 2001 The McGraw-Hill Companies Inc
File	310:Business Wire	1986-1999/Feb 28 (c) 1999 Business Wire
File	275:Gale Group Computer DB(TM)	1983-2001/Jul 30 (c) 2001 The Gale Group
File	624:McGraw-Hill Publications	1985-2001/Jul 21 (c) 2001 McGraw-Hill Co. Inc

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Set Name Query

side by side

Hit Count Set Name

result set

DB=USPT.PGPB,JPAB,EPAB,DWPL,TDBD: PLUR=YES: OP=OR

<u>L16</u>	L15	106	<u>L16</u>
<u>L15</u>	(stock or equity) adj offer\$	106	<u>L15</u>
<u>L14</u>	broker\$ same (qualified adj (investor or purchaser or buyer or participant))	4	<u>L14</u>
<u>L13</u>	broker\$ same (IPO or (initial adj public adj offering))	6	<u>L13</u>
<u>L12</u>	broker\$ near3 (IPO or (initial adj public adj offering))	0	<u>L12</u>
<u>L11</u>	19 and 17	68	<u>L11</u>
<u>L10</u>	L9 and 19	14299	<u>L10</u>
<u>L9</u>	(float\$ or issu\$ or sell\$ or distribut\$) near3 (stock or issue or security or share or equity)	14299	<u>L9</u>
<u>L8</u>	L7 and (qualified or accredited)	39	<u>L8</u>
<u>L7</u>	IPO or (initial adj public adj offering)	999	<u>L7</u>
<u>L6</u>	Mccredmond and abokhair	1	<u>L6</u>
<u>L5</u>	qualified adj (investor or purchaser or buyer or participant)	92	<u>L5</u>
<u>L4</u>	L3 and @ad<19990706	131	<u>L4</u>
<u>L3</u>	((705/36)!COR. )	337	<u>L3</u>
<u>L2</u>	((705/35)!COR. )	298	<u>L2</u>
<u>L1</u>	((705/37)!COR. )	689	<u>L1</u>

END OF SEARCH HISTORY

provided to Ark Park

# WEST

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## Search Results -

Terms	Documents
* L3 and @ad<19990706	131

Database:

☐ US Patent Full-Text Database  
☐ US Pre-Grant Publication Full-Text Database  
☐ JPO Abstracts Database  
☐ EPO Abstracts Database  
☐ Derwent World Patent Index  
☐ ISI Technical Abstracts Database

Search:

L4

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## Search History

<u>Set Name</u> side by side	<u>Query</u>	<u>Hit Count</u>	<u>Set Name</u> result set
L4	DB=USPT,PGPB,JPAB,EPAB,DWPI,TDBD; PLUR=YES; OP=OR	131	L4
L3	((705/36 )!COR. )	337	L3
L2	((705/35 )!COR. )	298	L2
L1	((705/37 )!COR. )	689	L1

END OF SEARCH HISTORY

○ = REVIEWED TI/AB/KWIC